PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:
H04L 12/28, G06F 17/30

A1

(11) International Publication Number: WO 99/66673

(43) International Publication Date: 23 December 1999 (23.12.99)

(21) International Application Number:

PCT/US99/12955

(22) International Filing Date:

11 June 1999 (11.06.99)

(30) Priority Data:

09/098,670

17 June 1998 (17.06.98)

US

- (71) Applicant: ONLINE ANYWHERE [US/US]; Building A, Suite 202, 3145 Porter Drive, Palo Alto, CA 94304 (US).
- (72) Inventors: VISHWANATH, Mohan; 537 Tarter Court, San Jose, CA 95136 (US). MENDHEKAR, Anurag; 946 Tamarack Lane #11, Sunnyvale, CA 94086 (US).
- (74) Agents: FLIESLER, Martin, C. et al.; Fliesler, Dubb, Meyer and Lovejoy LLP, Suite 400, Four Embarcadero Center, San Francisco, CA 94111-4156 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: METHOD AND APPARATUS FOR A CLIENT-SERVER SYSTEM WITH HETEROGENEOUS CLIENTS

| Applications ⊕ → Appliances ↓ | Browsing the Web | Email | Document Browsing and Editing | E-Commerce | Searching on the Internet |
|-------------------------------|------------------------------|---------------------------|-------------------------------------|--------------------------|---------------------------------|
| Television | DocTV, InternetTV | EmailTV, DocTV | DocTV | DocTV, FormTV | DocTV, FormTV |
| Limtled Display PDA | DocPDA. | EmailPDA, DocPDA | DocPDA | DocPDA, FormPDA | DocPDA, FormPDA |
| Portable Reader | DocPDR, | EmailPDR, DocPDR | DocPDR | DocPDR, FormPDR | DocPDR, FormPDR |
| Standard Phone | DocPhone, InternetPhone | EmailPhone, DocPhone | DocPhone | DocPhone, FormPhone | DocPhone, FormPhone |
| Phone with Display | DocSPhone, InternetSPhone | EmailSPhone, DocSPhone | DocSPhone | DocSPhone, FormSPhone | DocSPhone, FormSPhone |
| |) | 5 | | \ | · |
| 200 20 | /:)2 | 204 | _ |) Na | |

(57) Abstract

Method and apparatus to deliver an application to a client through a transmission medium. The application can be an interactive application, and the apparatus includes an appliance-specific transducer and an adaptive-transmission transducer. The appliance-specific transducer, in view of the client's request for the interactive application in the server, is configured to modify the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output. Based on at least one characteristic of the application and at least one characteristic of the medium of transmission, the adaptive-transmission transducer is configured to modify the appliance-specific output to generate an adapted output. The output is delivered through the medium to the client, which includes a decoder and a user-interface. The decoder decodes the adapted output to produce a modified version of the interactive application to be used by a party through the user-interface. In another embodiment, the application can be a push application.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| 1 | | 그는 이번 개통하다 | | | | |
|----|--------------------------|------------------------|----|-----------------------|----|--------------------------|
| AL | Albania | ES Spain | LS | Lesotho | SI | Slovenia |
| AM | Armenia | FI Finland | LT | Lithuania | SK | Slovakia |
| AT | Austria | FR | LU | 1.exembourg | SN | Senegal |
| AU | Australia | GA Gabon | LV | Latvia | SZ | Swaziland |
| AZ | Azerbaijan | GB United Kingdom | MC | Monaco | TD | Chad |
| BA | Bosnia and Herzegovina | GE Georgia | MD | Republic of Moldova | TG | Togo |
| BB | Barbados | GH Ghana | MG | Madagascar | TJ | Tajikistan |
| BE | Belgium | GN Guinea | MK | The former Yugoslav | TM | Turkmenistan |
| BF | Burkina Faso | GR Greece | | Republic of Macedonia | TR | Turkey |
| BG | Bulgaria | HU Hungary | ML | Mali | TT | Trinidad and Tobago |
| BJ | Benin | IE licland | MN | Mongolia - | UA | Ukraine |
| BR | Brazil | IL Israel | MR | Mauritania | UG | Uganda |
| BY | Belarus | IS leeland | MW | Malawi | US | United States of America |
| CA | Canada | IT ltaly | MX | Mexico | UZ | Uzbekistan |
| CF | Central African Republic | JP Japan | NE | Niger | VN | Viet Nam |
| CG | Congo | KE Kenya | NL | Netherlands | YU | Yugoslavia |
| CH | Switzerland | KG Kyrgyzstan | NO | Norway | zw | Zimbabwe |
| CI | Côte d'Ivoire | KP Démocratic People's | NZ | New Zealand | | |
| CM | Cameroon | Republic of Korea | PL | Poland | | |
| CN | China | KR Republic of Korea | PT | Portugal | | |
| CU | Cuba | KZ Kazakstan | RO | Romania | | |
| CZ | Czech Republic | LC Saint Lucia | RU | Russian Federation | | |
| DE | Germany | Liechtenstein | SD | Sudan | | |
| DK | Denmark | LK Sri Lanka | SE | Sweden | | |
| EE | Estonia | LR Liberia | SG | Singapore | | |
| f | | | | | | |
| | | | | | | |

- 1 -

METHOD AND APPARATUS FOR A CLIENT-SERVER SYSTEM WITH HETEROGENEOUS CLIENTS

This is a continuation-in-part of co-pending U.S. Patent Application S/N 08/970,735, filed on November 14, 1997, and incorporated by reference into this specification.

BACKGROUND OF THE INVENTION

10

5

The present invention relates generally to a client-server technology and more particularly to a client-server system with the application delivered to the client being automatically modified based on the resources available.

15

A server computer may deliver applications to many different types of clients through many different types of media. For example, the server can be delivering a page to a printer through a cable, a note to a pager through radio waves, an audio message to a cellular phone through microwave, a web page to a Internet enabled computer or a palmtop through infrared radiation, a movie to a television through optical fibers, or an on-off command to the switch of a microwave oven or the central air-conditioning system of a house through twisted pairs.

25

verter editor:

combine i - 24 m

20

Each of these clients or appliances have different capabilities. Some of them can have relatively powerful microprocessors and memory capacity, such as a palmtop. Others can be ultra-thin clients with minimal computation and memory power, such as a television. The delivery media can be very different. For example, some have large bandwidth, such as twisted pairs. Also, the applications can be quite diverse. For example, a movie requires large

bandwidth, low latency, and is quite tolerant to error rates; but an on-off command to a switch has to be absolutely accurate, though the bandwidth requirement is low.

There is typically a specific approach to deliver each type of application through a specific medium to a specific client. For example, if you want to print a page, you will activate a specific printer driver to print that page; and if you want to send a web-page to your Internet enabled computer, your web-page is compressed by a certain predetermined ratio and is transmitted following a specific network protocol. Different software programs are called by the server to accomplish such diverse tasks.

10

15

5

Whether an Internet enabled computer or a television requests for an interactive application, which is an application that you can interact with, such as a web-page, the server typically follows the same preset network protocol to deliver the interactive application. In other words, if, for example, the transmission medium is changed after the protocol is preset, the server does not have the intelligence to modify the protocol dynamically in view of the change. There is no intelligent adaptability on the part of the server. The server would not know or would not care that a user is sitting one foot away from the web-page on the Internet enabled computer, or is sitting six feet away from the web-page on the television.

20

25

· Papi

Not only does the server lack adaptability, the server would not be able to respond to certain requests from certain clients. For example, the application is a push application, which is an application determined by a user based on her profile, such as quoting the stock price of XEROX at 1 PM everyday. A server would not be able to respond automatically to both a pager requesting for a stock quote, and a standard telephone requesting for a weather report. The way it is typically done nowadays is to have a separate server dedicated to handle each of these tasks.

- 3 -

It should be apparent from the foregoing that there is still a need for an apparatus and method to automatically handle such diverse applications, client capabilities and transmission media, such that different applications can be automatically modified based on the resources available from the transmission media and the clients.

5

PCT/US99/12955

5

10

15

- 4 -

SUMMARY

The present invention is on methods and apparatus that automatically modify an application in view of one or more of the following: the application, and the capability of the client requesting the application and the transmission medium delivering the application. The client can be a palmtop computer showing your new appointment of the day, a microwave oven automatically being turned on at 6 PM to cook your chicken, or a television showing your favorite James Bond movie. Each of these clients has different capabilities connected to different transmission media, and each application has its characteristics. Based on this invention, the server computer automatically modifies the specific application in view of the resources available so as to maximize the benefits of the resources.

20

Aller ja jaying,

In one embodiment, the application can be of an interactive type, such as a web-page, which a user can interact with. This embodiment includes a server apparatus in a server. The server apparatus includes an appliance-specific transducer and an adaptive transmission transducer. The appliance-specific transducer, in view of a client's request for an interactive application in the server, is configured to modify the application based on the client and the application to generate an appliance-specific output. This output is modified automatically depending on the client and the application itself. The adaptive transmission transducer, which is coupled to the appliance-specific transducer, is configured to modify the appliance-specific output. The modification is again based on the application and the corresponding medium of transmission. After the modification, an adapted output is generated, and is delivered through the medium to the client. Then, the client decodes the adapted output to produce a modified version of the interactive application that is adapted for the client.

PCT/US99/12955

In another embodiment, the application can be of a push type. A push application is an application that is pre-determined by a user, such as from the user's profile. Based on her preference, as shown in her profile, specific applications can be delivered to her. For example, during weekends, she prefers to wake up at 9 am, and she likes music by the Beetles. So at 9 am every Saturday and Sunday, the server selects a song by the Beetles, compresses it, and delivers it to her speaker next to her bed for fifteen minutes. This embodiment also includes a server apparatus, with its corresponding appliance-specific transducer and adaptive transmission transducer.

10

5

Yet another embodiment includes a client apparatus in a client. The client apparatus includes a decoder for generating the modified version of an application from an adapted output. The adapted output is generated by one of the above described appliance-specific transducer and adaptive transmission transducer

15

Based on the present invention, applications are automatically mapped onto various clients based on the resources available. In one embodiment, the resources include the bandwidth, acceptable error rates, and the latency of the transmission medium, and the processing power and memory capacity of a client.

20

25

Jan a Spirit

4.00

With the present invention, different types of clients can be connected through the same transmission medium, such as a high speed Internet connectivity. The server can modify the applications based on the clients receiving them and based on the applications. Also, with the present invention, different types of clients can be connected through different media, such as wired and wireless, digital and analog; and the server can adapt the applications accordingly.

The client can be ultra-thin, with minimal computing power and memory capacity because the server has already adapted or modified the application for the client. In other words, the client can be a very low-cost device.

5

Moreover, since applications can be modified by the server to fit specific clients, the same content materials can be used for different clients. For example, the present invention can use the same Internet content for an Internet enabled computer and a television. And these contents can be delivered to different clients in real time.

10

With the present invention, development time to adapt different applications to a new type of client can be significantly reduced. Changes can be done through software modification based on the present invention. This, in turn, significantly enables widespread deployment of a new type of client into the market place quickly.

15

20

117 115

Note that the language used in the specification has been principally selected for readability and instructional purposes, and may not have been selected to delineate or circumscribe the inventive subject matter. Also, the features and advantages described in the specification are not all-inclusive. Other aspects and advantages of the present invention will become apparent to one of ordinary skill in the art, in view of the specification, which illustrates by way of example the principles of the invention.

- 7 -

BRIEF DESCRIPTION OF THE DRAWINGS

- FIG. 1 shows examples of applications applicable to the present invention.
- FIG. 2 shows an example of the server side and the client side of the present invention connected through a transmission medium.
 - FIG. 3 shows one set of steps to implement one embodiment of the invention.
- FIG. 4 shows examples of appliance-specific transducers in the present invention.

15

- FIG. 5 shows one embodiment of the adaptive transmission transducer of the present invention.
- FIG. 6 shows examples of the characteristics of transmission media for the present invention:
- FIG. 7 shows examples of ways to select the compression algorithms based on the computation power of the client and the input data type in the present invention.
 - FIGS. 8A2B show examples of network protocols selected by the adaptive packetizer under different conditions in the present invention.
- FIGS. 9A-B illustrate an example of a decision tree of the present invention for a Web browsing application.

31 A.S.

A Strong to

PCT/US99/12955 WO 99/66673

-8-

Same numerals in Figures 1-9 are assigned to similar elements in all the figures. Embodiments of the invention are discussed below with reference to Figures 1-9. However, those skilled in the art will readily appreciate that the detailed description given herein with respect to these figures is for explanatory purposes as the invention extends beyond these limited embodiments.

5

-9-

DETAILED DESCRIPTION OF THE INVENTION

5

10

15

20

25

twenter.

Ser Water

The present invention is applicable to a server-client environment. The server can be connected to one or more clients through the corresponding transmission media. The server can be a personal computer or other types of computers, typically with more computation power and memory than the clients. The clients can be many different types of computing engines; for example, they can be an Internet enabled computer, a television, a palmtop, a microwave oven, a telephone, or a pager. Thus, the capabilities of a client can be quite flexible, as long as it has a decoder to decode the information delivered by the server. In one embodiment, the clients also have some form of user-interface apparatus to communicate the decoded information to a user.

In one example, a client requests for an application. This can be done by a user activating his set-top box. He might want to get to YAHOO's web page through his television. This type of application is known as an interactive application, 102 in FIG. 1. In such an application, a user can interact with the application. This is different from just passively watching a STAR TREK episode on the television, because a user cannot change anything in the episode. Anyway, the request is transmitted to the server, which will access the web page. Then the server adapts the accessed web page for the television, with the adaptation depending on a number of factors; for example, the web page itself, such as whether the web page contains text, images, video or some combination thereof, and the resources available, such as the transmission medium carrying the web page to the client, and the capability of the client. In one embodiment, the web page is modified based on MPEG1 specifications and the RTP network protocols, and is transmitted through an Internet connection from the server to the settop box of the television. In this case, the client is the television and the set-top box, which receives the transmitted signal, and decodes it. The decoded signals are typically a modified version of the original application. In other words, the decoded application is not exactly the same as the application in the server. Then the set-top box shows the web page on the television, allowing the user to interact with it.

In another embodiment, a user initially enters his preference to the server. This can be the score of the LA Lakers basketball team at the end of each of their games to be shown on her pager screen. Such piece of information is also known as a push application, 104 in FIG. 1; it is preset by the user. When a score is available, the server can deliver it to her pager through radio wave. Again the application can be adapted based on at least one characteristic of the application itself and the resources available.

10

5

FIG. 2 illustrates one embodiment of the server side and the client side of the present invention connected through a transmission medium 154. FIG. 3 shows one set 175 of steps to implement one embodiment of the invention.

15

In one embodiment, the server side includes an appliance-specific transducer 150 and an adaptive-transmission transducer 152. In general terms, the appliance-specific transducer 150 modifies (step 177) an application based on the capability of the client; and the adaptive-transmission transducer 152 further modifies (step 181) the application based on the transmission medium 154. The modification can also depend on the application itself, for example, an audio signal is modified in a different way as compared to a piece of written text. In one embodiment, the client includes a decoder 156 and a user-interface 158. The decoder 156 interprets or decodes (step 185) the delivered signal, which, may have previously been tailored to the capability of the decoder 156. Then, the user-interface 158 presents the modified application 187 to a user.

25

drusa

20

In one embodiment, the appliance-specific transducer 150 modifies an application based on at least one characteristic of the application in conjunction with the

- 11 -

client. For illustration purposes, there are four different types of applications; and they are:

- (a) Document or Doc, which includes text and images in their corresponding formats.
- (b) Internet, which includes streaming multimedia and plugins.
- (c) Email.

5

10

15

20

25

(d) Form, which includes different types of forms with different formats for a user to enter information into.

Each of these applications has its characteristics, and can be modified differently depending on the client.

FIG. 4 shows examples of appliance-specific transducers 150 in the present invention. In this specification, a term with an application appended by a client designates an appliance-specific transducer 150 for that application and that client. For example, a DocTV designates an appliance specific transducer for modifying a document for television viewing. This transducer can include a number of subtransducers, such as a format sub-transducer for TV to change the format of the image depending on the television. This can be changing the size of the text so that a user six feet away can see the text. So there can be one or more of such sub-transducers to adapt the application for television viewing. Note that television is typically watched six or more feet away, while a computer monitor is less than one foot away from a viewer. Other sub-transducers, depending on needs, can be a text sub-transducer to change the text characteristics based upon the client, an image sub-transducer to change the image characteristics based upon the client's display capabilities, and a user-interface subtransducer to enhance the usability of the application on the client. The appliancespecific transducer 150 can add one or more sub-transducers dynamically to modify the application accordingly.

Figure 4 shows additional examples of such sub-transducers. For example, if one is browsing the Web using a phone with a display, the appliance-specific transducer 150 can be a DocSPhone, 200, with S in SPhone denoting screen, or an InternetSPhone, 202. The DocSPhone is used if one is just using the phone to passively receive messages, while the InternetSPhone is used if one is using the phone to interact with the messages, as in interacting with a Web page. For another example, if one is using the phone to retrieve email, the appliance-specific transducer 150 can be an EmailSphone, 204, or a DocSPhone. The EmailSPhone, 204, has additional intelligence in that the phone can have a software management system for email. As a final example, if the phone is used for electronic commerce or E-Commerce, the appliance-specific transducer 150 can be a FormSPhone, 206, which can allow a user to edit a form, as in ordering a book from the Web.

5

10

15

20

25

There are different ways to produce such appliance-specific transducers 150. One way is based on methods and apparatus disclosed in the co-pending U.S. Patent Application S/N 08/970,735, filed on November 14, 1997, to generate such transducers dynamically. Another method can be through direct mapping. For example, a page in HTML can be changed or mapped directly into a bit-map.

In one embodiment, the output from the appliance-specific transducer 150 is an appliance-specific output 179, which is further modified by an adaptivetransmission transducer 152.

The adaptive-transmission transduer 152, based on the characteristics of the application and the transmission medium 154, modifies the appliance-specific output 179 to generate an adapted output 183. In one embodiment, the compression algorithm and the network protocol to be operated on the appliance-specific output 179 depend on at least one characteristic of the application, such as the reliability or error rate of the

application required, and the speed or bandwidth of the transmission medium 154. After the appliance-specific output 179 is modified to generate the adapted output 183, the server sends the adapted output 183 through the transmission medium to the client.

5

FIG. 5 shows one embodiment of the adaptive-transmission transduer 152 of the present invention. It includes a multimode compressor 250 and an adaptive packetizer 252. The compressor selects the appropriate compression algorithm to compress the appliance-specific output 179, while the adaptive packetizer 252 selects the network protocol to generate the adapted output 183.

10

15

20

FIG. 6 shows examples of the characteristics of transmission media for the present invention. The different characteristics can be in the bandwidth, error rates and latency. Latency represents how long a client has to wait for an application. A medium with a high error rate implies that data going through such a transmission medium 154 tends to have more errors. To compensate for such deficiencies, an application might have to be re-sent to ensure the right signal has been received. This increases latency. For example, an analog signal, 300, transmitted through air-a wireless analog signal—has high error rate, 302, because signal interference can be high. Since the signals might have to be transmitted a number of times to ensure accuracy, the latency of the signal increases, 304. Depending on the application and the transmission medium 154 to be used, the adaptive-transmission transduer 152 automatically takes into account such variations, for example, by selecting the appropriate compression algorithm and network protocol.

25

In one embodiment, the multimode compressor 250 automatically selects the compression algorithm in view of the application, the transmission medium 154 and the client. The higher the form of data to be sent from the server to the client, the lower the bandwidth required, but the more computation power the client has to have. For

5

15

20

25

example, with respect to ASCII text (a higher form data) and bitmap (a lower form data), the ASCII text document takes less bandwidth to be transmitted, but the client needs the decoding capability to understand the ASCII text. On the other hand, if the document is sent in bitmap, the client displays whatever is received, but the amount of data sent increases significantly. If the client has the capability to decode compressed ASCII text, and if the transmission medium 154 has a small bandwidth, then it is better to compress the ASCII text before transmission. This ensures a more efficient use of the resources.

In one embodiment, the parameters used to choose the compression algorithm include:

- (a) Input data type, whether it is text, graphics, natural images, audio or video. Note that the application can have a number of different input data type, such as there can be text and images on the same page.
- (b) Rate-distortion requirement, or the compromise between bandwidth versus quality.
 - (c) The transmission medium 154, which affects the bandwidth, error rate and latency.
 - (d) Capabilities of the server and the client, such as the processing power and memory available.

A number of compression algorithms are applicable to this invention. They include Lempel-Ziv (LZ), Run Length Encoding (RLE), JPEG, Hierarchical Vector Quantization (HVQ), ADPCM, MPEG1, MPEG2, H.263 and Hierarchical Vector Quantization with Conditional Replenishment (HVQCR).

13561-5

detti vi fini

effects his and

FIG. 7 shows ways to select the compression algorithms based on the input data type and the computation power of the client because the decoder 156 might need to decompress the adapted output 183. Note that the computation power of the server may also have to be taken into account because it is the server, or the multimode

compressor 250, that is performing the compression. However, typically, the client is not as powerful as the server. If the client has sufficient power to decompress, the server should have sufficient power to compress.

5

In one embodiment, the adaptive-transmission transduer 152 not only selects the compression algorithm, it also selects the network protocol. This can be done by the adaptive packetizer 252. To illustrate the present invention, three protocols are considered, and they are TCP, UDP and RTP.

10

15

FIGS. 8A-B show examples of network protocols selected by the adaptive packetizer 252 under different conditions, with FIG. 8A for low-error rates and FIG. 8B for high-error rates. In one embodiment, the selection again is done in an adaptive manner, with the choice made depending on the data type of the application and the transmission medium 154. For example, if the application is a piece of text and has to be accurate, then error rate has to be low. If the transmission medium has inherently high latency, then as shown in FIG. 8A, UDP is selected. On the other hand, if the application is a video stream, then error rate can be high, but latency should be low because a viewer's enjoyment level drops if there are detectable gaps between frames. Based on FIG. 8B, RTP is selected.

20

25

Admin'

i de vide

of on the

In one embodiment, the client can be ultra-thin. It only needs minimal computing power and memory capacity because the server has already adapted or modified the application for the client. Such type of clients can be very low cost. An example of a ultra-thin client is a TV set top box that is capable of handling powerful applications, such as streaming audio and video, Java applets and ActiveX controls. Such a box may only require the computing power of a 16 MHz 80386 microprocessor, with 1 Mbyte memory, and with embedded software that can fit into 100 KBytes memory.

PCT/US99/12955

FIGS. 9A-B illustrate an example of a decision tree of the present invention for a Web browsing application 400. As shown in FIG. 9A, if the appliance or the client is a television 402, then the appliance-specific transducer 150 will select the sub-transducers of a DocTV and an InternetTV. The output from the appliance-specific transducer 150 is further modified, as shown in FIG. 9B. For example, a part of the application is a piece of text, so the data type, 404, is text, 406. Also, the transmission medium 154, or the connection 408, is wired LAN, 410, with bandwidth of 10 mega bits per second (Mbps). Further assume that the computing power, 412, of the appliance is low, such as less than 10 million instructions per second (Mips). Then, following the decision tree, the adaptive-transmission transduer 152 will select RLE as the compression algorithm and UDP as the network protocol. After the modification, the output will be transmitted through the wired LAN to the appliance, which in this case is a television 402.

15

10

5

Another embodiment of the invention includes a new type of network protocol. It is an application layer protocol, known as VAP. It runs on top of network protocols, such as the TCP, UDP or RTP. VAP includes two packet types, the Rendered Data Object (RDO) and the Client Data Object (CDO). RDOs are sent from the server to the client in response to a CDO request.

20

25

The RDO characteristics include the following:

- Augusta

- (a) Each RDO packet is self-contained in that it is possible to decode and reproduce a portion of the output based upon each RDO separately. Such a feature is especially suitable to situations with high latency or high error rates. It also permits packets to be delivered out of order.
- (b) Each RDO packet contains complete information about the active areas of the screen and corresponding actions.
- (c) RDO packets contain information about the compression algorithm used.

- 17 -

(d) VAP permits incremental reconstruction of the output at the client. This is applicable to implement smart-loading which improves the user experience by providing them with some data quickly. RDO packets contain information about the layer of the reconstruction including transparency information.

The CDO characteristics include the following:

5

10

- (a) Each CDO packet contains an appliance-id, which identifies the client and is specified by the VAP. It is for determining the capabilities of the client so as to allow the server to respond accordingly.
 - (b) Each CDO packet contains an action and corresponding data.

- PARTIES

Title City

ODO(85)

in our

FRIM(S)

might.

Other embodiments of the invention will be apparent to those skilled in the art from a consideration of this specification or practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with the true scope and spirit of the invention being indicated by the following claims.

- 18 -

CLAIMS

1. A server apparatus in a server for delivering an interactive application to a client through a transmission medium, the apparatus comprising:

an appliance-specific transducer, in view of the client's request for the interactive application, configured to modify the application based on at least one characteristic of the client and at least one characteristic of the application to generate

an appliance-specific output; and

Military

20 Gr.

N. C. William

da sen da sen sense

an adaptive-transmission transducer coupled to the appliance-specific transducer, configured to modify the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the interactive application.

15

10

2. A server apparatus as recited in Claim 1 wherein the adaptive-transmission transducer includes a compressor to compress the appliance-specific output, and a packetizer to set the network protocol for the appliance-specific output.

3. A server apparatus as recited in Claim 2 wherein the compression applied by the compressor depends on the capability of the client.

4. A server apparatus as recited in Claim 2 wherein the compression applied by the compressor depends on the bandwidth of the transmission medium.

25

5. A server apparatus as recited in Claim 2 wherein the compression applied by the compressor depends on the error rate of the transmission medium.

- 6. A server apparatus as recited in Claim 2 wherein the protocol set depends on the desired error rates and latency in view of the application.
- 7. A server apparatus in a server for delivering a push application to a client through a transmission medium, the apparatus comprising:

an appliance-specific transducer configured to modify the push application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

an adaptive-transmission transducer coupled to the appliance-specific transducer, configured to modify the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission, to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the push application.

15

20

25

10

5

- 8. A client apparatus in a client for generating a modified version of a push application received from a server through a transmission medium, the apparatus comprising:
- a decoder for generating the modified version of the push application from an adapted output, which is generated by a server apparatus in the server,

such that the server modifies:

White of

नेतर अने के

the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

application and at least one characteristic of the application and at least one characteristic of the medium of transmission to generate the adapted output to be delivered through the medium to the client.

5

10

15

20

9. A client apparatus in a client for generating a modified version of an interactive application received from a server through a transmission medium, the apparatus comprising:

a decoder for generating the modified version of the interactive application from an adapted output, which is generated by a server apparatus in the server; such that the server apparatus:

in view of the client's request for the interactive application, modifies the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

modifies the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission, to generate the adapted output to be delivered through the medium to the client.

10. A method of modifying an interactive application by a server, to be delivered to a client through a transmission medium, the method comprising the steps of:

modifying, in view of the client's request for the interactive application, the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

modifying the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the interactive application.

11. A method of modifying a push application by a server, to be delivered to a client through a transmission medium, the method comprising the steps of:

25

334 PC

মন্ত্ৰ গুটাৰ ম

PCT/US99/12955

modifying the push application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliancespecific output; and

modifying the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission, to generate an adapted output to be delivered through the medium to the client, which decodes the adapted output to produce a modified version of the push application.

12. A method of generating by a client a modified version of a push application received from a server through a transmission medium, the method comprising the step of:

decoding an adapted output to generate the modified version of the push application, wherein the adapted output is generated by:

modifying the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

modifying the appliance-specific output based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate the adapted output to be delivered through the medium to the client.

13. A method of generating by a client a modified version of an interactive application received from a server through a transmission medium, the method comprising the step of:

decoding an adapted output to generate the modified version of the interactive application, wherein the adapted output is generated by:

modifying, in view of the client's request for the interactive application, the application based on at least one characteristic of the client and at least one characteristic of the application to generate an appliance-specific output; and

A DEN!

20

25

5

10

15

- 22 -

modifying the appliance-specific output, based on at least one characteristic of the application and at least one characteristic of the medium of transmission to generate the adapted output to be delivered through the medium to the client.

Burnay!

1/11

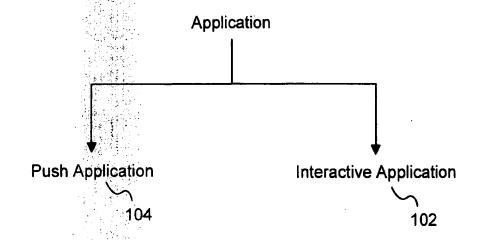


Figure 1

SUBSTITUTE SHEET (RULE 26)

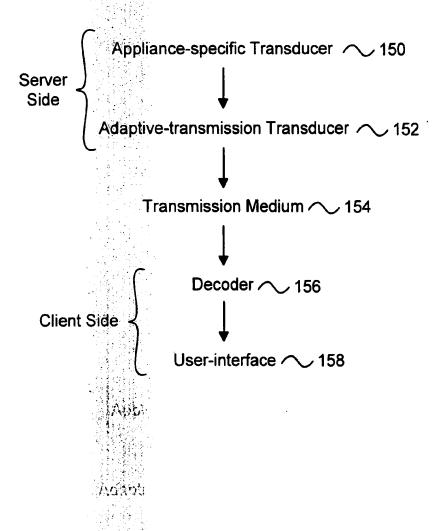


Figure 2

SUBSTITUTE SHEET (RULE 26)

3/11

____175

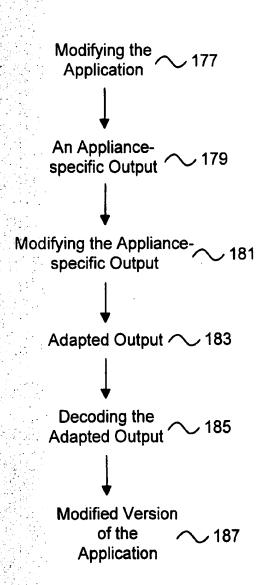


Figure 3

| Applications 4 P | Browsing the Web | Email | Document Browsing and Editing | E-Commerce | Searching on the Internet |
|------------------------|-------------------------------|---------------------------|-------------------------------------|----------------------------|---------------------------------|
| Television. | DocTV, ≀intermet∏V | EmailTV, DocTV | DocTV | DocTV. FormTV | DocTV, FormTV |
| Limtied Display PDA | DocPDA, InternetPDA | EmailPDA, DocPDA | DocPDA | DocPDA, FormPDA | DocPDA, FormPDA |
| Portable Reader | DocPDR, InternetPDR | EmailPDR, DocPDR | DocPDR | DocPDR, FormPDR | DocPDR, FormPDR |
| Standard Phone | DocPhone, InternetPhone | EmailPhone, DocPhone | DocPhone | DocPhone, FormPhone | DocPhone, FormPhone |
| Phone with Display | / DocSPhone, / InternetSPhone | EmailSPhone, DocSPhone | DocSPhone | DocSPhone, - FormSPhone | DocSPhone, FormSPhone |
| 200 200 | 2 | 204 | <i>)</i> '& | 206 | |

Figure 4

5/11

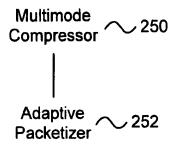


Figure 5

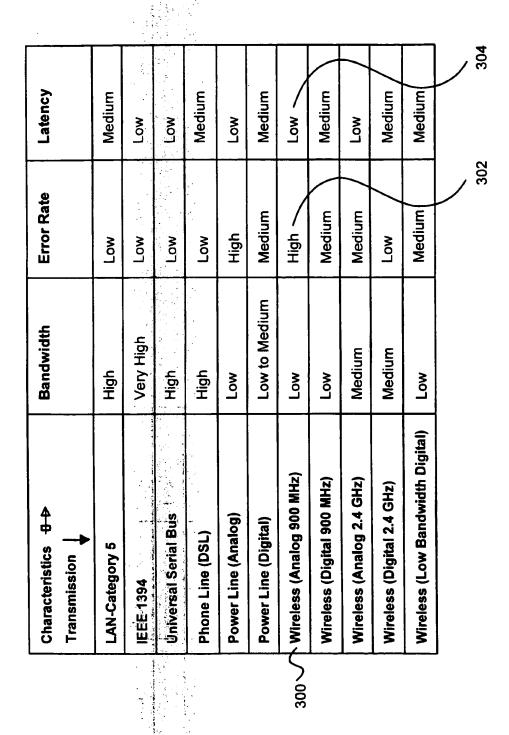


Figure 6

| / | |
|----|--|
| 9 | |
| g | |
| iΤ | |

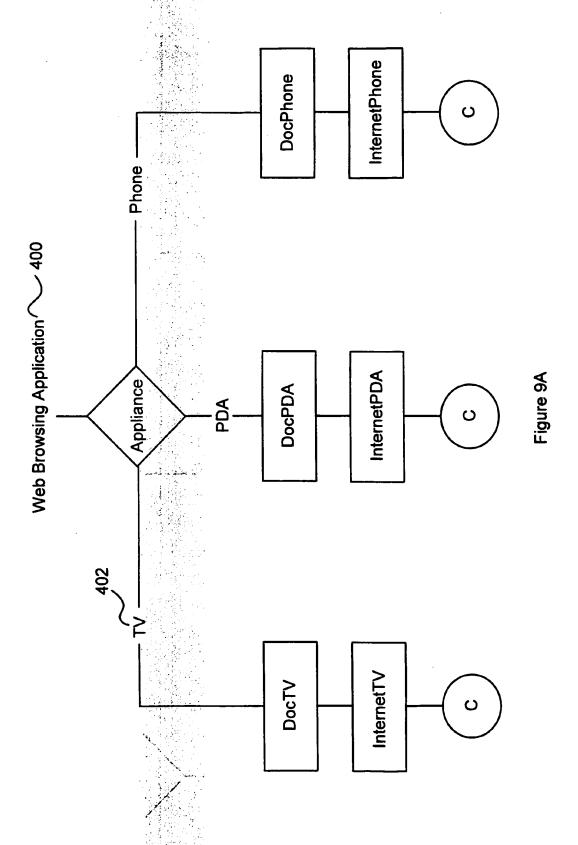
| Input Type B Compute Power | Text | Graphics | ⊕ ► Text Graphics Natural Image Audio | Audio | Video |
|----------------------------|-------|----------|---------------------------------------|-------------------|-------------------------|
| Low | RLE | RLE RLE | HVQ | ADPCM | HVQCR |
| Medium | Z1 Z1 | 71 | JPEG | MPEG1-Audio MPEG1 | MPEG1 |
| High | 77 77 | 71 | JPEG | MPEG2-Audio | MPEG2-Audio MPEG2/H.263 |

| 1 | |
|------------------|--|
| $\tilde{\alpha}$ | |
| ഉ | |
| 翼 | |
| ᄗ | |

| Input Type 8-6 | 4 Text | Graphics | Natural Image | Audio | Video |
|----------------|-----------------|----------|---------------|-------|-------|
| Channel | | | | | |
| Lower Latency | TCP/UDP TCP/UDP | | TCP/UDP | RTP | RTP |
| Higher Latency | UDP | UDP | UDP | RTP | RTP |

| | | : | | | Vidoo |
|----------------|--------------|----------|---------------|-------|-------|
| Input Type 4-> | ¥91 ♦ | Grapnics | Natural Image | Auglo | |
| Lower Latency | тср | TCP | TCP | RTP | RTP |
| Higher Latency | TCP | TCP | TCP | RTP | RTP |

PCT/US99/12955



SUBSTITUTE SHEET (RULE 26)

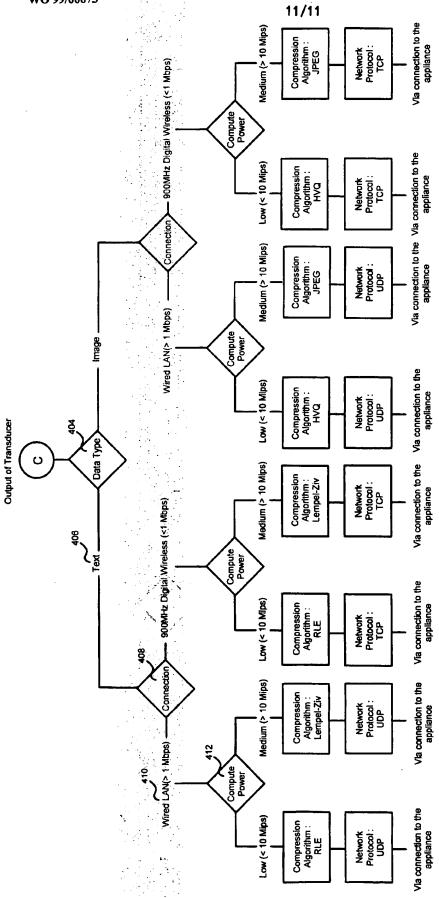


Figure 9B

INTERNATIONAL SEARCH REPORT

inte 'onal Application No PCT/US 99/12955

| | | | | | , , | |
|----------------------|---|--|--|--|---|--------------------------------|
| A. CLASSII IPC 6 | FICATION OF SUBJECT H04L12/28 | GO6F17/30 | | | | |
| | | | | | | |
| According to | International Patent C | lassification (IPC) or to both n | national classifica | ation and IPC | <u>-</u> | |
| | SEARCHED | | | | | |
| Minimum do | cumentation searched H04L | (classification system followe | ed by classificatio | on symbols) | | |
| | ••• | | | | | |
| Documentati | on searched other than | n minimum documentation to | the extent that su | uch documents are include | ed in the fields sea | arched |
| | | | | | | |
| Electronic da | ata base consulted duri | ng the international search (r | (name of data bas | se and, where practical, s | earch terms used) | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| C. DOCUME | NTS CONSIDERED TO | O BE RELEVANT | | | | |
| Category * | Citation of document, | with indication, where appro | opriate, of the rele | evant passages | | Relevant to claim No. |
| | | | | | | |
| Х | COMMUNICA | "HP JETSEND: C TION" | OFF-THE-C | UFF | | 1,7-13 |
| | page 49/50 ISSN: 036 | and the second s | 1998 (199 | 8-04-01), | | |
| | the whole | and Market Street | | | | |
| [] | | Marcon Mary (for the | - , | / | | |
| | | A Company of the Comp | | • | | |
| | | | | | | |
| | | an de make e sign | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| V Furth | er documents are lister | in the continuation of box C | | Date tomit me | beer een linke die | |
| | - | DE APTERAN | <u>. </u> | X Patent family me | mbers are listed in | annex. |
| | egories of cited docume | | • | T" later document publish or priority date and no | ned after the interr | national filing date |
| conside | red to be of particular r | state of the 'art which is not elevance | | cited to understand the invention | he principle or the | ry underlying the |
| filing da | ite | on or after the international | *7 | X" document of particular cannot be considered | d novel or cannot b | e considered to |
| which is | s cited to establish the p | bts on priority claim(s) or publication date of another | 41 | involve an inventive a Y" document of particular | step when the docu | iment is taken alone |
| "O" documer | or other special reason at referring to an oral di | sclosure, use, exhibition or | | cannot be considered document is combine | d to involve an inve od with one or more | ntive step when the soch docu- |
| other m "P" document | at published prior to the | international filing date but | | ments, such combina in the art. | _ | • |
| | in the priority date claim | | | &" document member of the Date of mailing of the | | |
| | October 199 | | | 20/10/199 | | я героп |
| | ailing address of the IS | | | Authorized officer | | |
| | - | ffice, P.B. 5818 Patentlaan 2 | | Authorized officer | | |
| | Tel. (+31-70) 340-2 | 2040. Tx. 31 651 epo nl. | | Katerbau, | . R | |
| | Fax: (+31-70) 340- | 18.44 | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | , ,, | |

2

INTERNATIONAL SEARCH REPORT

inte^{- onal} Application No PCT/US 99/12955

| Category ° | ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
| Category | Chadon of document, with indication, where appropriate, of the relevant passages | Helevant to claim No. |
| X | BICKMORE T W ET AL: "Digestor: device-independent access to the World Wide Web" COMPUTER NETWORKS AND ISDN SYSTEMS, vol. 29, no. 8-13, 1 September 1997 (1997-09-01), page 1075-1082 XP004095305 ISSN: 0169-7552 abstract page 1076, column 2, line 28 -page 1077, column 1, line 27 | 1,7-13 |
| A | US 5 570 085 A (BERTSCH LUDO A) 29 October 1996 (1996-10-29) abstract column 1, line 1 -column 2, line 33 column 3, line 34 -column 3, line 42 | 1,7-13 |
| | 114.5 | |
| | | |
| | | |
| | | |
| | 1 | |
| | 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1 | |
| | | |
| | in the state of th | `. |
| | TO BEY SAN | |
| | | |
| | \$79 m 1 | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

INTERNATIONAL SEARCH REPORT

Inter onal Application No
PCT/US 99/12955

| | information on patent family mem | bers | PCT/US | 99/12955 |
|--|--|----------------------|--|--|
| Patent document cited in search report | Publication date | P | atent family nember(s) | Publication date |
| US 5570085 | A 29-10-1996 | US US AU WO | 5842032 A 5938757 A 5929190 A 9015394 A | 24-11-1998 17-08-1999 07-01-1991 13-12-1990 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | and the second s | | | |
| | | | | |
| | استان میشان کامل در در از در از در | | | |
| | | | | |
| | Adam of the second state of the | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

| Defects in the images include but are not limited to the items checked: |
|---|
| ☐ BLACK BORDERS |
| ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES |
| ☐ FADED TEXT OR DRAWING |
| ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING |
| ☐ SKEWED/SLANTED IMAGES |
| ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS |
| ☐ GRAY SCALE DOCUMENTS |
| ☐ LINES OR MARKS ON ORIGINAL DOCUMENT |
| ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY |
| □ other: |

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.